IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): TANAKA et al.

Serial No.: 09/960,361

Filed: September 24, 2001

Title: CERAMIC CATALYST BODY,

CERAMIC SUPPORT AND THEIR

PRODUCTION METHODS

Atty. Dkt.: 12-007

Group Art Unit: 1754

Examiner: WRIGHT, WILLIAM G.



Commissioner for Patents Arlington, VA 22202

Date: October 6, 2003

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the references listed on the attached Form PTO-1449 are being submitted for consideration by the Examiner without any admission that they constitute statutory prior art, or without any admission that they contain subject matter that anticipates the invention or renders the invention obvious to a person of ordinary skill in the art.

The Examiner is requested to initial the attached PTO Form-1449 and to return a copy of same to the undersigned attorney as proof that the listed references have been considered and made of record.

As a first Office Action on the merits has been mailed in the above identified application, the fee due under 37 C.F.R. 1.17(p) is enclosed. Please charge any additional fee to Applicant's attorney's Deposit Account No. 50-1147.

Respectfully submitted,

10/10/2003 MAHMED1 00000011 09960361

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David G. Posz Reg. No. 37,701

Posz & Bethards, PLC 11250 Roger Bacon Drive Suite 10 Reston, VA 20190 (703) 707-9110 (phone) Customer No. 23400

09/960,361

1754

SER. NO.

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3,956,186	May 11, 1976	Iwase et al.		
	6,171,573	Jan. 9, 2001	Sato	• •	
	5,607,885	Mar. 4, 1997	Ichii et al.		
	4,956,329	Sep. 11, 1990	Chao et al.		
	5,346,722	Sep. 13, 1994	Beauseigneur et al.		
	4,189,405	Feb. 19, 1980	Knapton et al.		
	5,489,865	Feb. 6, 1996	Colvin, Sr.		
	5,716,899	Feb. 10, 1998	Guile et al.	· · · · · ·	7

FOREIGN PATENT DOCUMENTS

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	DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUB CLASS	YES	NO
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER			DATE CONSIDEREI)		
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Rev. 10/94 (Form 3.05)

PTO/SB/17 (10-03) OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27 **TOTAL AMOUNT OF PAYMENT** 180

Complete if Known Application Number 09/960,361 September 24, 2001 Filing Date First Named Inventor TANAKA et al. Examiner Name WRIGHT, WILLIAM G Art Unit 1754 Attorney Docket No. 12-007

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Deposit Account Name POSZ & BETHARDS, PLC The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below	
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1000 (4) 1000 (4)	
1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal	
1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing	
1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	
1452 110 2452 55 Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0 1453 1,330 2453 665 Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid 1502 480 2502 240 Design issue fee	
Total Claims -20**= X 18 = 1503 640 2503 320 Plant issue fee	
Independent - 3**= X 86 = 1460 130 1460 130 Petitions to the Commissioner	
Multiple Dependent = 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt 180	
Fee Code (\$) Fee Description 8021 40 Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filling a submission after final rejection (37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3 1810 770 2810 385 For each additional invention to be	
examined (37 CFR § 1.129(b)) 1203 290 2203 145 Multiple dependent claim, if not paid 1801 770 2801 385 Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent 1802 900 1802 900 Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$) 0 Other fee (specify)	
** or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180	
SUBMITTED BY Complete (if applicable)	=

Registration No. **DAVID G. POSZ** Name (Print/Type) 37,701 Telephone (703) 707-9110 (Attorney/Agent) Signature October 6, 2003 Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

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TRANSMITT	AL	Applicati n Number	09/960,361			
FORM		Filing Date	September 24, 2001			
(to be used formall correspondence at	First Named Inventor	TANA	KA et al.			
OCT 0 6 2003	Group Art Unit	1754				
	Examiner Name	Wright	Wright, William G			
The state of the s	Attorney Docket Number	12-007				
	ENCLOS	URES (check all that apply)				
X Fee Transmittal Form	As (fo	signment Papers r an Application)		After Allowance Communication to Group		
X Fee Attached	Dr.	awing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Lic	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Pe an	tition Routing Slip (PTO/SB/69) d Accompanying Petition		Proprietary Information		
Affidavits/declaration		Convert a ovisional Application		Status Letter		
Extension of Time Request		wer of Attorney, Revocation ange of Correspondence dress		Additional Enclosure(s) (please identify below):		
Express Abandonment Request		rminal Disclaimer				
Information Disclosure Statement	☐ Sn	nall Entity Statement				
Certified Copy of Priority Document(s)	Re	equest of Refund				
Response to Missing Parts/ Incomplete Application	Remarks					
Response to Missing Parts under 37 CFR 1.52 or 1.53						

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Posz & Bethards, PLC

October 6, 2003

David G. Posz (Reg. No. 37,701)

Firm

Date

Individual Name

Signature